
SCIENTIFIC REPORTS

Arithmetic Dynamics and Diophantine Geometry

25 Aug 2025–29 Aug 2025

Organizing Committee

Tien-Cuong Dinh

National University of Singapore

Ziyang Gao

University of California, Los Angeles

Jit Wu Yap

Harvard University

De-Qi Zhang

National University of Singapore

CONTENTS PAGE

		Page
Chatchai Noytaptim University of Waterloo, Canada	When do Two Iterated Rational Functions have Finitely many Common Zeros?	3
She Yang Peking University, China	Arithmetic Degrees are Cohomological Lyapunov Multipliers	5
Jiawei Yu Peking University, China	Quantitative Mordell Conjecture	8
Yugang Zhang Paris-Saclay University, France	Algebraic Families of Weakly Polarized Endomorphisms	11
Xiao Zhong University of Waterloo, Canada	Preimages Question and Dynamical Cancellations	15

WHEN DO TWO ITERATED RATIONAL FUNCTIONS HAVE FINITELY MANY COMMON ZEROS?

CHATCHAI NOYTAPTIM
(JOINT WORK WITH XIAO ZHONG)

Classification AMS 2020: 37P05, 37P30, 14G25

Keywords: arithmetic dynamics, diophantine geometry, arithmetic equidistribution, compositional independence

Let f be a rational function with complex coefficients with degree ≥ 1 . We write $f^{\circ n}$ to denote the n -fold composition of f with itself $f \circ f \circ \cdots \circ f$. Given two rational functions f and g , we call them *compositional independence* if the semigroup generated by f and g under composition is isomorphic to the free semigroup with two generators. Otherwise, we call them *compositional dependence*. For instance, $f(x) = -2x$ and $g(x) = 2x + 1$ are compositionally independent. In joint work with Xiao Zhong [NZ25], we study a finiteness result of orbits collision for two rational functions with complex coefficients. We state the question which was originally posed by Hsia and Tucker as follows:

Question 0.1. [HT17, Question18] *Let f and g be two non-constant, compositionally independent rational functions with \mathbb{C} -coefficients. Let c be any rational function in $\mathbb{C}(x)$. Is it true that there must be at most finitely many $\lambda \in \mathbb{C}$ such that*

$$f^{\circ n}(\lambda) = g^{\circ n}(\lambda) = c(\lambda)$$

for some positive integer $n \geq 1$?

Question 0.1 is partly motivated by results in Diophantine geometry. Applying Schmidt subspace theorem, Bugeaud-Corvaja-Zannier [BCZ03] provided an upper bound for the greatest common divisor of two sequences $a^n - 1$ and $b^n - 1$ with a and b are multiplicatively independent integers ≥ 2 . In 2004, Ailon-Rudnick replaced integers by polynomials in $\mathbb{C}[x]$ and established a function field analog of [BCZ03]. The main tool in [AR04] is a Lang's conjecture which was proved by Ihara-Serre-Tate. Question 0.1, thus, can be thought of as a dynamical analog of [BCZ03, AR04].

Hsia and Tucker examined Question 0.1 when f, g , and c are polynomials with coefficients are in \mathbb{C} . Let us briefly discuss the strategy of Hsia and Tucker.

- When $\deg(f) = 1, \deg(g) = 1$, they employ deep results from diophantine geometry and a specialization technique.
- When $\deg(f) > 1, \deg(g) > 1$, they apply arithmetic equidistribution of points with small height, the classification of polynomials with the same Julia set, and isotriviality properties of polynomials.
- When $\deg(f) = 1, \deg(g) > 1$ (and vice versa), Northcott theorem is needed.

There are many obstacles we need to overcome when f, g , and c are rational functions. Nevertheless, our approach follows closely that of Hsia and Tucker. We highlight two cases that are highly different from work of Hsia and Tucker.

- When $\deg(f) = 1, \deg(g) = 1$, it is necessary to exclude some exceptional families of f and g in order to make the Question 0.1 true. We also provide a counter-example showing that

$$f^{\circ m}(x) = g^{\circ n}(x) = c(x)$$

$(m, n \geq 1)$ could have infinitely many common solutions where f and g are compositionally independent.

- When $\deg(f) > 1, \deg(g) > 1$, a Tits alternative for rational functions—in place of the classification of polynomials with identical Julia set—is needed to conclude the proof.

There are a couple interesting variant questions posed by Hsia and Tucker along this line of research. One is a positive characteristic version [HT17, Question17] and the other is a higher dimensional version [HT17, Question19] of Question 0.1. A progress towards a higher dimensional setting has recently been made by Noytaptim and Zhong [NZ24].

REFERENCES

- [AR04] N. Ailon and Z. Rudnick. Torsion points on curves and common divisors of $a^k - 1$ and $b^k - 1$. *Acta Arithmetica*, Vol. 113, no.1, 31–38, 2004.
- [BCZ03] Y. Bugeaud, P. Corvaja, and U. Zannier. An upper bound for the G.C.D. of $a^n - 1$ and $b^n - 1$. *Mathematische Zeitschrift*, Vol. 243, no.1, 79–84, 2003.
- [HT17] L.-C. Hsia and T. J. Tucker. Greatest common divisors of iterates of polynomials. *Algebra Number Theory*, Vol. 11, no.6, 1437–1459, 2017.
- [NZ25] C. Noytaptim and X. Zhong. A finiteness result for common zeros of iterates of rational functions. *International Mathematics Research Notices*, Vol. 2025, no.11, 1–29, 2025.
- [NZ24] C. Noytaptim and X. Zhong. *Towards Common Zeros of Iterated Morphisms*. Preprint arXiv:2412.15141, 2024.

UNIVERSITY OF WATERLOO, CANADA
 Email address: chatchai.noytaptim@gmail.com

ARITHMETIC DEGREES ARE COHOMOLOGICAL LYAPUNOV MULTIPLIERS

SHE YANG (JOINT WORK WITH JIARUI SONG AND JUNYI XIE)

Classification AMS 2020: 37P05, 37P30

Keywords: Arithmetic degrees, cohomological Lyapunov multipliers, height

Let $f : X \dashrightarrow X$ be a dominant rational self-map of a projective variety X defined over $\overline{\mathbb{Q}}$. Fix a Weil height h_H associated to an ample line bundle H on X . For a point $x \in X(\overline{\mathbb{Q}})$ whose f -orbit $\mathcal{O}_f(x) = \{x, f(x), f^2(x), \dots\}$ is well-defined, the arithmetic degree of x is defined by

$$\alpha_f(x) = \lim_{n \rightarrow \infty} \max\{1, h_H(f^n(x))\}^{\frac{1}{n}},$$

provided the limit exists. It is conjectured by Kawaguchi and Silverman that this limit always exists, and furthermore, that $\alpha_f(x) = \lambda_1(f)$, the first dynamical degree of f , whenever the f -orbit $\mathcal{O}_f(x)$ is Zariski dense in X . See [4]. For recent advances on this conjecture, see [7]. If the f -orbit $\mathcal{O}_f(x)$ is generic, meaning that it intersects every proper closed subset of X in only finitely many points, then it was proved in [8, Theorem 2.2] that $\alpha_f(x)$ exists and coincides with one of the cohomological Lyapunov multipliers $\mu_i(f)$ of f (please see below for the definition).

In our work [11], we study the behavior of arithmetic degrees of endomorphisms under the weaker assumption that the orbit is Zariski dense in X , rather than generic. In the case where $f : X \rightarrow X$ is an endomorphism, it was shown in [3] that $\alpha_f(x)$ exists, and is equal to the modulus of an eigenvalue of the linear map $f^* : N^1(X)_{\mathbb{R}} \rightarrow N^1(X)_{\mathbb{R}}$ or 1, where $N^1(X)$ is the numerical group of line bundles on X . We strengthen this result by proving that, if $\mathcal{O}_f(x)$ is Zariski dense in X , then $\alpha_f(x)$ must be one of the cohomological Lyapunov multipliers of f .

Previous works on arithmetic degrees (see for example [3, 4, 6]) have largely focused on the case where the base field is a number field, as there is a natural notion of Weil heights. In [5], a generalization to arbitrary fields of characteristic zero was introduced via spread-out techniques and the notion of Moriawaki heights [9]. This broader framework allows one to address problems in arithmetic dynamics over fields such as \mathbb{C} , including, for example, applications to the dynamical Mordell–Lang conjecture. For generality, our results are proved over finitely generated fields, or more generally, over arbitrary fields of characteristic zero.

Settings of our work.

We start with a normal projective variety $X_{\mathbb{C}}$, a surjective endomorphism $f_{\mathbb{C}} : X_{\mathbb{C}} \rightarrow X_{\mathbb{C}}$ over \mathbb{C} and a point $x_{\mathbb{C}} \in X(\mathbb{C})$. Let K be a finitely generated field over \mathbb{Q} such that the coefficients of defining equations of $X_{\mathbb{C}}$ and $f_{\mathbb{C}}$, as well as the coordinates of $x_{\mathbb{C}}$, are all contained in K . In other words, there exists a projective variety X , a surjective endomorphism $f : X \rightarrow X$ over K , and a point $x \in X(K)$ such that (X, f, x) is a model of $(X_{\mathbb{C}}, f_{\mathbb{C}}, x_{\mathbb{C}})$. We fix an ample line bundle L on X . Then we can measure the complexity of the dynamical system (X, f) and the orbit $\mathcal{O}_f(x)$.

- (1) For $i \in \{0, \dots, \dim(X)\}$, the i -th dynamical degree $\lambda_i(f)$ of (X, f) is defined as $\lim_{n \rightarrow \infty} ((f^n)^* L^i \cdot L^{\dim(X)-i})^{\frac{1}{n}}$. The limits exist and are independent of the choice of L . See [2, 1, 12].
- (2) Fix a Moriwicki height function (see [9]) $h_L : X(\overline{K}) \rightarrow \mathbb{R}_{\geq 1}$. Then the arithmetic degree $\alpha_f(x)$ is defined as $\lim_{n \rightarrow \infty} h_L(f^n(x))^{\frac{1}{n}}$. The limit exists and is independent of the choice of L , h_L and the field K . See [3, 10, 5].

Remark 0.1. When K is a number field, the arithmetic degree is defined directly using a Weil height function.

In [13], Junyi Xie introduced a notion of cohomological Lyapunov multipliers of the dynamical system (X, f) . For $i \in \{1, \dots, \dim(X)\}$, the i -th cohomological Lyapunov multiplier $\mu_i(f)$ is defined as $\frac{\lambda_i(f)}{\lambda_{i-1}(f)}$.

Our main result is as follows. We continue with the previous notions.

Theorem 0.2. We have $\alpha_f(x) \in \{\mu_1(f), \dots, \mu_{\dim(X)}(f)\} \cap \mathbb{R}_{\geq 1}$, provided that the orbit $\mathcal{O}_f(x)$ is Zariski dense in X .

From Theorem 0.2, we deduce the following corollary about the Kawaguchi–Silverman conjecture.

Corollary 0.3. Let X be a normal projective variety and $f : X \rightarrow X$ be a surjective endomorphism of X with $\lambda_1(f) > \lambda_2(f)$. Then the Kawaguchi–Silverman conjecture holds. In other words, if $\mathcal{O}_f(x)$ is Zariski dense in X , then $\alpha_f(x) = \lambda_1(f)$.

Remark 0.4. (1) We have assumed that X is normal and geometrically connected for simplicity. These conditions are mild and are usually easy to fulfill in the applications.

- (2) It is proved in [3] that $\alpha_f(x)$ is the modulus of an eigenvalue of the linear map $f^* : N^1(X)_{\mathbb{R}} \rightarrow N^1(X)_{\mathbb{R}}$ or 1. In fact, all of the cohomological Lyapunov multipliers $\mu_i(f)$ are real and positive eigenvalues of this map (see [14, Theorem 1.4]). So our theorem is a strengthening of Kawaguchi–Silverman’s result.
- (3) According to the Kawaguchi–Silverman conjecture, one expects that $\alpha_f(x) = \mu_1(f) = \lambda_1(f)$ when $\mathcal{O}_f(x)$ is Zariski dense in X .

As an application, we can prove the following result of dynamical Mordell–Lang type. It is another example of applying height arguments toward the DML conjecture, following the spirit of [15]. The point in the corollary is that the speeds of height growth of $\mathcal{O}_f(x)$ and $\mathcal{O}_g(y)$ must be different. One could compare this corollary with [15, Proposition 4.1].

Corollary 0.5. Let X and Y be projective varieties over \mathbb{C} . Let f and g be surjective endomorphisms of X and Y , respectively. Let $x \in X(\mathbb{C})$ and $y \in Y(\mathbb{C})$ be closed points and let $V \subseteq X \times Y$ be a positive dimensional irreducible closed subvariety. Suppose that

- (1) $\{\mu_1(f), \dots, \mu_{\dim(X)}(f)\} \cap \{\mu_1(g), \dots, \mu_{\dim(Y)}(g)\} \cap \mathbb{R}_{\geq 1} = \emptyset$;
- (2) the orbits $\mathcal{O}_f(x)$ and $\mathcal{O}_g(y)$ are dense in X and Y , respectively; and
- (3) both of the projection maps $V \rightarrow X$ and $V \rightarrow Y$ are generically finite onto their image.

Then $V \cap \mathcal{O}_{f \times g}((x, y))$ cannot be dense in V .

References

- [1] Nguyen-Bac Dang. Degrees of iterates of rational maps on normal projective varieties. *Proc. Lond. Math. Soc.* (3), 121(5), 1268–1310, 2020.
- [2] Tien-Cuong Dinh and Nessim Sibony. Une borne supérieure pour l’entropie topologique d’une application rationnelle. *Ann. of Math.* (2), 161(3), 1637–1644, 2005.
- [3] Shu Kawaguchi and Joseph H. Silverman. Dynamical canonical heights for Jordan blocks, arithmetic degrees of orbits, and nef canonical heights on abelian varieties. *Trans. Amer. Math. Soc.*, 368(7), 5009–5035, 2016.
- [4] Shu Kawaguchi and Joseph H. Silverman. On the dynamical and arithmetic degrees of rational self-maps of algebraic varieties. *J. Reine Angew. Math.*, 2016(713), 21–48, 2016.
- [5] Wenbin Luo and Jiarui Song. Arithmetic degrees of dynamical systems over fields of characteristic zero. *arXiv:2401.11982*.
- [6] Yohsuke Matsuzawa. On upper bounds of arithmetic degrees. *Amer. J. Math.*, 142(6), 1797–1820, 2020.
- [7] Yohsuke Matsuzawa. Recent advances on Kawaguchi–Silverman conjecture. *arXiv:2311.15489*.
- [8] Yohsuke Matsuzawa. Existence of arithmetic degrees for generic orbits and dynamical Lang–Siegel problem. *J. Reine Angew. Math.*, 2025(825), 305–335, 2025.
- [9] Atsushi Moriawaki. Arithmetic height functions over finitely generated fields. *Invent. Math.*, 140(1), 101–142, 2000.
- [10] Tomoya Ohnishi. Arakelov geometry over an adelic curve and dynamical systems. PhD thesis, Kyoto University, 2022.
- [11] Jiarui Song, Junyi Xie, and She Yang. Arithmetic degrees are cohomological Lyapunov multipliers. *arXiv:2507.17643*.
- [12] Tuyen Trung Truong. Relative dynamical degrees of correspondences over a field of arbitrary characteristic. *J. Reine Angew. Math.*, 2020(758), 139–182, 2020.
- [13] Junyi Xie. Algebraic dynamics and recursive inequalities. *arXiv:2402.12678*.
- [14] Junyi Xie. Numerical action for endomorphisms. *arXiv:2502.04779*.
- [15] Junyi Xie and She Yang. Height arguments toward the dynamical Mordell–Lang problem in arbitrary characteristic. *arXiv:2504.01563*.

Beijing International Center for Mathematical Research, Peking University, Beijing 100871, China
Email address: `ys-yx@pku.edu.cn`

QUANTITATIVE MORDELL CONJECTURE

JIAWEI YU

Classification AMS 2020: 11G30, 14H25

Keywords: Mordell conjecture; quantativity; Bogomolov conjecture

This talk is based on the joint work with Xinyi Yuan and Shengxuan Zhou.

The celebrated Faltings theorem, also known as Mordell conjecture, is as follows.

Theorem 0.1 (Faltings [4]). *Let C be an algebraic curve of genus $g \geq 2$ over a number field K , then $C(K)$ is finite.*

It was proved by Faltings in 1983. Later, Vojta [13] gave another proof with Diophantine approximation. Based on Vojta's proof, Dimitrov–Gao–Habegger [3] and Kühne [6] proved the following uniform result, which answers a question by Mazur [8, p. 234].

Theorem 0.2 (Vojta [13], Dimitrov–Gao–Habegger [3], Kühne [6]). *Let $g \geq 2$ be an integer. Then there exist two positive constants $c_1(g)$ and $c_2(g)$ depending only on g with the following property. Let C be an algebraic curve of genus g over a number field K . Then*

$$\#C(K) \leq c_1(g)c_2(g)^r.$$

Here $r = \text{rank}(\text{Jac}(C)(K))$ is the Mordell-Weil rank.

It is natural to ask for explicit constants. We give the following result.

Theorem 0.3 (Yu–Yuan–Zhou). *Let C be an algebraic curve of genus $g \geq 2$ over a number field K . Then*

$$\#C(K) \cap \Gamma \leq 3 \cdot 10^3 g^8 \left(1 + \frac{3 \log g}{g}\right)^r.$$

Here $c_2(g) = 1 + \frac{3 \log g}{g}$ converges to 1 as $g \rightarrow \infty$. This confirms a conjecture of Gao and Habegger (cf. [5]).

We sketch the idea of proof. Let $J = \text{Jac}(C)$ be the Jacobian variety of C . There is a canonical height function $\hat{h} : J(K) \rightarrow \mathbb{R}$. Assume for simplicity that there is a line bundle α on C an isomorphism $(2g - 2)\alpha \cong \omega$. Via the Abel-Jacobi map with respect to α , the canonical height function is pulled back to $C(K)$. We also take a height $h(C)$ of the curve C . For example, it could be $\max\{h_{\text{Fal}}(J), 1\}$. The rational points are divided into two parts and count separately.

For points with large height, Vojta [13] proved an inequality between them. Based on that, Rémond [10] and de Diego [1] gave uniform bounds for large points. By applying Arakelov theory, we make constants explicit.

Although the small points is automatically finite by the Northcott property. It is the difficult part in proving uniform results. We use a uniform version of the following theorem, which is conjectured by Bogomolov and proved by Ullmo [12].

Theorem 0.4 (Bogomolov conjecture, Ullmo [12]). *Let C be an algebraic curve of genus $g \geq 2$ over a number field K . Let $J = \text{Jac}(K)$ be the Jacobian variety. Let α be a line bundle of degree 1 on C . Then there exists a constant $\epsilon > 0$ such that*

$$\#\{P \in C(\bar{K}) : \hat{h}(P - \alpha) < \epsilon\} < \infty.$$

Ullmo's proof is based on the equidistribution theorem by Szpiro–Ullmo–Zhang [11]. It is generalized by Zhang [17] to subvarieties of abelian varieties.

Dimitrov–Gao–Habegger [3] developed a height inequality. Kühne [6] generalize the equidistribution to subvariety of abelian schemes. The following uniform Bogomolov conjecture is obtained by combining their results.

Theorem 0.5 (Uniform Bogomolov conjecture, Dimitrov–Gao–Habegger [3], Kühne [6]). *Let $g \geq 2$ be an integer. Then there exist two positive constants $c_1(g)$ and $c_2(g)$ depending only on g with the following property. Let C be an algebraic curve of genus g over a number field K . Let $J = \text{Jac}(K)$ be the Jacobian variety. Let α be a line bundle of degree 1 on C . Then*

$$\#\{P \in C(\bar{K}) : \hat{h}(P - \alpha) < c_1(g)h(C)\} < c_2(g).$$

There is another approach to the Bogomolov conjecture for curves. Zhang [16] introduced the admissible volume $\bar{\omega}_{C,a}^2$, which is an arithmetic invariant of the curve C . He also reduced the Bogomolov conjecture to $\bar{\omega}_{C,a}^2 > 0$. Robin de Jong [2] proved this positivity. Based on the theory of Yuan–Zhang [15], Yuan [14] developed these theories on relative curves to gave another proof of the uniform Bogomolov conjecture and strengthened it by adding an extra term. He also showed that $\bar{\omega}_{C,a}^2$ is a height of C .

Looper–Silverman–Wilms [7] proved a quantitative result on Bogomolov conjecture over function fields. We transfer their proof to the number field case. The canonical height function $\hat{h}(\cdot)$ on $J(K)$. So we define the norm $|x| = (\hat{h}(x))^{\frac{1}{2}}$ and the angle $\angle(x, y) = \arccos\left(\frac{|x+y|^2 - |x|^2 - |y|^2}{2|x||y|}\right)$.

Theorem 0.6. *Let C be an algebraic curve of genus g over a number field K . Let $J = \text{Jac}(K)$ be the Jacobian variety.*

(1) *Let α be a line bundle of degree 1 on C . Then*

$$\#\left\{P \in C(\bar{K}) : \hat{h}(P - \alpha) < \frac{\bar{\omega}_{C,a}^2}{32g}\right\} < 7 \cdot 10^{11} g^{\frac{17}{3}}.$$

(2) *Let $x \in J(K)$. Assume $|x| \neq 0$. Then*

$$\#\left\{P \in C(\bar{K}) : 1 \leq \frac{|P|}{|x|} \leq 2, \angle(x, P) \leq \arccos \sqrt{\frac{2.13}{g+1}}\right\} < 4 \cdot 10^{11} g^{\frac{17}{3}}.$$

Here (2) is inspired by Yuan's extra term and helps to prove $c_2(g) \rightarrow 1$.

REFERENCES

- [1] T. de Diego, *Points rationnels sur les familles de courbes de genre au moins 2*, J. Number Theory, 67 (1997), pp. 85–114, <https://doi.org/10.1006/jnth.1997.2146>.
- [2] R. de Jong, *Néron-Tate heights of cycles on Jacobians*, J. Algebraic Geom. 27 (2018), pp. 339–381, <https://doi.org/10.1090/jag/700>.
- [3] V. Dimitrov, Z. Gao, and P. Habegger, *Uniformity in Mordell-Lang for curves*, Ann. of Math. (2) 194 (2021), no. 1, 237–298.

- [4] G. Faltings, *Endlichkeitssätze für abelsche Varietäten über Zahlkörpern*, Invent. Math., 73 (1983), pp. 349–366, <https://doi.org/10.1007/BF01388432>.
- [5] P. Habegger, *The number of rational points on a curve of genus at least two*, ICM–International Congress of Mathematicians 3. Sections 1–4, EMS Press, Berlin, pp. 1838–1869.
- [6] L. Kühne, *Equidistribution in Families of Abelian Varieties and Uniformity*, preprint, <https://arxiv.org/abs/2101.10272v2>, 2021.
- [7] N. Looper, J. Silverman, and R. Wilms, *A uniform quantitative Manin-Mumford theorem for curves over function fields*, J. Reine Angew. Math. 828 (2025), pp. 127–147.
- [8] B. Mazur, *Arithmetic on curves*. Bulletin of the American Mathematical Society, 14 (1986), pp. 207–259, <https://doi.org/10.1090/S0273-0979-1986-15430-3>.
- [9] L. J. Mordell, *On the rational solutions of the indeterminate equations of the third and fourth degrees*, Mathematical Proceedings of the Cambridge Philosophical Society 21 (1922), pp. 17–192.
- [10] G. Rémond, *Inégalité de Vojta en dimension supérieure*, Ann. Scuola Norm. Sup. Pisa Cl. Sci. (4), 29 (2000), pp. 101–151, [https://doi.org/10.1016/S0764-4442\(00\)88135-5](https://doi.org/10.1016/S0764-4442(00)88135-5).
- [11] L. Szpiro, E. Ullmo, and S. Zhang, *Équirépartition des petits points*, Invent. Math., 127 (1997), pp. 337–347, <https://doi.org/10.1007/s002220050123>.
- [12] E. Ullmo, *Positivité et discrétion des points algébriques des courbes*, Ann. of Math. (2), 147(1998), pp. 167–179, <https://doi.org/10.2307/120987>.
- [13] P. Vojta, *Siegel’s theorem in the compact case*, Ann. of Math. (2), 133 (1991), pp. 509–548, <https://doi.org/10.2307/2944318>.
- [14] X. Yuan, *Arithmetic bigness and a uniform Bogomolov-type result*, preprint, <https://arxiv.org/abs/2108.05625>, 2021, to appear in the Annals of Mathematics.
- [15] X. Yuan and S. Zhang, *Adelic line bundles on quasi-projective varieties*, preprint, <https://arxiv.org/abs/2105.13587v6>, 2021, to appear in the Annals of Mathematics Studies.
- [16] S. Zhang, *Admissible pairing on a curve*, Invent. Math. 112 (1993), pp. 171–193, <https://doi.org/10.1007/BF01232429>.
- [17] S. Zhang, *Equidistribution of small points on abelian varieties*, Ann. of Math. (2), 147 (1998), pp. 159–165, 1998, <https://doi.org/10.2307/120986>.

SCHOOL OF MATHEMATICAL SCIENCES, PEKING UNIVERSITY, HAIDIAN DISTRICT, BEIJING 100871, CHINA
 Email address: yujiawei@pku.edu.cn

ALGEBRAIC FAMILIES OF WEAKLY POLARIZED ENDOMORPHISMS

YUGANG ZHANG

Classification AMS 2020: 37P55, 37P30, 37P45

Keywords: Northcott property, complex function fields, geometric height functions, algebraic dynamics

The classical *Northcott property* over a number field \mathbb{K} asserts that, for a height function associated with a big and nef divisor, there exist only finitely many $\overline{\mathbb{K}}$ -points of bounded degree and bounded height outside a certain Zariski closed subset (which is empty when the divisor is ample). This finiteness property is one of the most fundamental features of height functions in arithmetic geometry and dynamics. Let $f : X \rightarrow X$ be a polarized endomorphism of degree $d \geq 2$ of a projective variety X , defined over K . Let h be an ample height function on X . Call and Silverman ([1]) constructed the canonical height function \hat{h}_f associated with f by $\hat{h}_f(x) := \lim_n \frac{1}{d^n} h(f^n(x))$, which is independent of the choice of the ample height function h . The canonical height is f -invariant in the sense that $\hat{h}_f \circ f = d\hat{h}_f$ and there exists a constant $C > 0$, which does not depend on the rational point x , such that $|h(x) - \hat{h}_f(x)| < C$. These two properties uniquely characterize \hat{h}_f , and, together with the Northcott property, imply that there are only finitely many preperiodic points of f of bounded degree.

We now consider the case where K is a complex function field $\mathbb{C}(B)$, where B is a smooth complex projective variety. In this setting, the Northcott property fails in general. In this talk, we will present a natural weaker form of the Northcott property over complex function fields in the dynamical setting. An important invariant that measures the complexity of an algebraic dynamical system is the first dynamical degree [3]. Recall that it is defined by $\lambda_1(f) := \lim_n ((f^n)^*(A) \cdot A^{\dim X - 1})^{1/n}$.

Our main result is Theorem 0.9 ([10]). To avoid technical definitions at first, we begin by presenting the surface case in Theorem 0.1.

Theorem 0.1 (Surfaces case). *Let S be a smooth projective surface over a complex function field K and $g : S \rightarrow S$ an automorphism with first dynamical degree $\lambda_1 > 1$. Let $E \subset S$ be the (reducible) invariant curve. Then there exist only finitely many periodic K -rational points outside E .*

In the remainder of the text, we develop a more general framework that encompasses not only surfaces, but also hyperkähler varieties and varieties admitting abelian group actions of maximal dynamical rank [3, 4]. Moreover, our finiteness result applies to rational points of sufficiently small height.

Let $\pi : X \rightarrow \Lambda$ be a flat, surjective and projective morphism of smooth complex quasi-projective varieties. Denote by \overline{X} and B smooth compactifications of X and Λ such that $\overline{X} \rightarrow B$ restricts to π over Λ . Let $f := (f_1, \dots, f_m)$ be an m -tuple of automorphisms of X such that $f_i \circ \pi = \pi$ for all $i = 1, \dots, m$. On each fiber $X_t := \pi^{-1}(t)$, $f_{i,t}$ acts on it as an

automorphism. Thus, we obtain a family $(f_t)_{t \in \Lambda} = (f_{1,t}, \dots, f_{m,t})_{t \in \Lambda}$ of automorphisms parameterized by Λ .

Let $D_i, 1 \leq i \leq m$ be \mathbb{R} -divisors on \overline{X} such that

- for any $1 \leq i \leq m$, there exists $\lambda_i > 1$ such that

$$(0.1) \quad f_i^* D_i|_{\Lambda} \sim_{\mathbb{R}} \lambda_i D_i|_{\Lambda};$$

- for any $1 \leq i \neq j \leq m$, there exists $\mu_{i,j} < 1$ such that

$$(0.2) \quad f_i^* D_j|_{\Lambda} \sim_{\mathbb{R}} \mu_{i,j} D_j|_{\Lambda};$$

- D_i is π -nef; that is, for every $t \in \Lambda$, the restriction $D_{i,t}$ is nef on X_t ;
- the sum $D := \sum_i D_i$ is π -big and nef; equivalently, for every $t \in \Lambda$, the restriction D_t is big and nef on X_t .

where $\sim_{\mathbb{R}}$ means \mathbb{R} -linear equivalence of \mathbb{R} -divisors.

For any $1 \leq i \leq m$, denote by \mathfrak{S}_{f_i} the set of points $x \in X(\mathbb{C})$ such that x is an $f_{i,\pi(x)}$ -periodic point of saddle type in the fiber $X_{\pi(x)}$. Here, an $f_{i,t}$ -periodic point $x \in X(\mathbb{C})$ above $t = \pi(x)$ of exact period k is of *saddle type* if no eigenvalues of the differential of $f_{i,t}^k$ at x are on the unit circle.

Definition 0.2. We encode the above data by (π, f, D) , and we call it a *family of hyperbolic automorphisms (of smooth complex projective varieties)*. This family is said to be *good* if moreover \mathfrak{S}_{f_i} is Zariski dense in X for some $1 \leq i \leq m$.

Definition 0.3. Let $d \geq 1$ be a positive integer. A d -marked point (of π) is an irreducible subvariety σ of \overline{X} such that the projection $\pi|_{\sigma}$ to B is generically finite of degree d . Denote by $\deg(\sigma)$ the degree d and Σ the set all of marked points.

Definition 0.4. A d -marked point is *periodic* if it is periodic for all f_i , that is, there exists $n \in \mathbb{N}$ such that $f_i^n(\sigma) = \sigma$ for all i .

For good family, σ is periodic if and only if it is periodic for some f_i by Theorem 0.9.

By considering the above family of dynamical systems at the generic fiber of π , we can reformulate the preceding notions as follows.

Let K be the function field of a smooth complex quasi-projective variety Λ , and let X be a K -variety (i.e., a separated, geometrically integral scheme of finite type over K). Let f_1, \dots, f_m be K -automorphisms of X . Then a family of hyperbolic automorphisms consists of the data (X, f_i, D_i) , where the D_i are nef \mathbb{R} -divisors on X such that

$$f_i^* D_i \sim_{\mathbb{R}} \lambda_i D_i, \quad f_i^* D_j \sim_{\mathbb{R}} \mu_{i,j} D_j,$$

and the sum $D = \sum_i D_i$ is big and nef. Moreover, d -marked points correspond exactly to rational points of X of degree d .

In fact, given such a variety X/K , there always exists a smooth quasi-projective variety \mathcal{X} and a projection $\pi : \mathcal{X} \rightarrow \Lambda$, flat, surjective and projective, such that the generic fiber is $X \rightarrow \text{Spec } K$. We refer to \mathcal{X} or π as a *model* of X/K .

Let (π, f, D) be a family of hyperbolic automorphisms. Fix an ample divisor M on B , and let $d_B := \dim B$. The i -th *geometric height function* $h_{i,M} : \Sigma \rightarrow \mathbb{R}$ associated with D_i and M is defined by

$$(0.3) \quad h_{i,M}(\sigma) := \sigma \cdot D_i \cdot \pi^*(M)^{d_B-1}.$$

The corresponding *geometric canonical height function* associated with f_i is defined as

$$(0.4) \quad \hat{h}_{f_i}(\sigma) := \lim_{n \rightarrow +\infty} \frac{1}{\lambda_i^n} h_{i,M}(f_i^n \circ \sigma).$$

This limit is well-defined, f_i -invariant and non-negative.

A subvariety Y of X is called *horizontal* if $\pi(Y) = \Lambda$.

Definition 0.5. Let (π, f, D) be a family of hyperbolic automorphisms. The *maximal f -invariant subvariety* $E \subset X$ is the (possibly reducible) subvariety satisfying:

- every irreducible component of E is horizontal;
- $f_i(E) = E$ for all $1 \leq i \leq m$;

and moreover, if $E' \subset X$ is an irreducible subvariety such that

- $\pi(E') = \Lambda$;
- $d_B < \dim E' < \dim X$;
- E' is f_i -periodic for all $1 \leq i \leq m$,

then $E' \subset E$.

The maximal f -invariant subvariety E always exists. If D is relatively ample (that is, ample on the generic fiber), then $E = \emptyset$.

In the study of dynamical families, there are certain *trivial examples* that one needs to exclude in order to obtain meaningful results.

Example 0.6. Let $f: X \rightarrow X$ be a morphism of a smooth complex projective variety X . We may view it as a trivial family by setting $f_t = f$ for all $t \in B(\mathbb{C})$. For each point $x \in X(\mathbb{C})$, there is an associated constant marked point $\sigma_x(t) = (t, x)$.

Definition 0.7. Let (π, f, D) be a family of hyperbolic automorphisms. We say that it is *D -isotrivial* if, for any two general parameters $t_1, t_2 \in \Lambda(\mathbb{C})$, there exists an isomorphism

$$\Psi_{t_1, t_2}: (X \setminus E)_{t_1} \longrightarrow (X \setminus E)_{t_2}$$

such that, for all $1 \leq i \leq m$,

$$\Psi_{t_1, t_2}^{-1} \circ f_{i, t_2} \circ \Psi_{t_1, t_2} = f_{i, t_1}$$

on $(X \setminus E)_{t_1}$.

As in the number field case, we must exclude a certain Zariski closed subset in order to obtain a meaningful finiteness statement. Recall that Σ denotes the set of all d -marked points.

Definition 0.8. We denote by Σ_E the subset of Σ consisting of d -marked points not contained in E .

Theorem 0.9. Let (π, f, D) be a non- D -isotrivial good family of hyperbolic automorphisms. For any integer $N \geq 2$, there exists a constant $\varepsilon_f > 0$ (depending on N) such that the set

$$\{ \sigma \in \Sigma_E \mid \deg(\sigma) < N, \hat{h}_f(\sigma) < \varepsilon_f \}$$

is finite.

Analogous results for families on \mathbb{P}^n are known. In dimension one, Benedetto [5] first proved such a statement for families of polynomials; the general case was established by Baker [6], and DeMarco [7] gave another proof using complex dynamics. In higher dimensions, the result is due to Gauthier and Vigny [8] and to the author [9] (for the gap property).

REFERENCES

- [1] Call, Gregory S. and Silverman, Joseph H. Canonical heights on varieties with morphisms. *Compositio Math.*, 89, 163–205, 1993.
- [2] Dinh, Tien-Cuong and Sibony, Nessim. Une borne supérieure pour l'entropie topologique d'une application rationnelle. *Ann. of Math. (2)*, 161, 1637–1644, 2005.
- [3] Dinh, Tien-Cuong and Sibony, Nessim. Groupes commutatifs d'automorphismes d'une variété kählérienne compacte. *Duke Math. J.*, 123, 311–328, 2004.
- [4] Hu, Fei and Zhong, Guolei. Canonical heights for abelian group actions of maximal dynamical rank. *Forum Math. Sigma*, 13, 25, 2025.
- [5] Benedetto, Robert L. Heights and preperiodic points of polynomials over function fields. *Int. Math. Res. Not.*, 62, 3855–3866, 2005.
- [6] Matthew Baker. A finiteness theorem for canonical heights attached to rational maps over function fields. *J. Reine Angew. Math.*, 626:205–233, 2009.
- [7] Laura DeMarco. Bifurcations, intersections, and heights. *Algebra Number Theory*, 10:1031–1056, 2016.
- [8] Thomas Gauthier and Gabriel Vigny. The geometric dynamical Northcott and Bogomolov properties. *Ann. Sci. Éc. Norm. Supér. (4)*, 58:231–273, 2025.
- [9] Yugang Zhang. Gap for geometric canonical height functions. *Math. Z.*, 307(2):Paper No. 30, 8, 2024.
- [10] Yugang Zhang. Marked points of families of hyperbolic automorphisms of smooth complex projective varieties. *Preprint*, 2025.

LABORATOIRE DE MATHÉMATIQUES D'ORSAY - UNIVERSITÉ PARIS-SACLAY

Email address: yugang.zhang@universite-paris-saclay.fr, zhangyg0312@gmail.com

PREIMAGES QUESTION AND DYNAMICAL CANCELLATION

XIAO ZHONG

Classification AMS 2020: 37P55, 14G05

Keywords: preimages question, invariant subvarieties, arithmetic dynamics, rational points on varieties

In arithmetic geometry, one of the central problems is to study the distribution of rational points on a given geometric object. The dynamical analogue of this problem concerns the distribution of rational points in the orbit of a dynamical system. On the other hand, torsion points and algebraic subgroups (or abelian subvarieties) play a central role in understanding the arithmetic of an abelian variety. Dynamically, these correspond to invariant subvarieties or their preimages under a morphism

$$[m]: A \rightarrow A,$$

where A is an abelian variety and $m > 1$ is an integer. More generally, studying invariant subvarieties—those $Y \subset X$ satisfying $f(Y) \subseteq Y$ —is a key step in understanding the dynamics of a pair (X, f) .

Let X be a projective variety and f a surjective endomorphism of X . For a subvariety $Y \subset X$ that is invariant under f , we can form the tower of iterated preimages

$$Y \subseteq f^{-1}(Y) \subseteq f^{-2}(Y) \subseteq \cdots \subseteq f^{-n}(Y) \subseteq \cdots$$

For a generically finite morphism $g: V \rightarrow V'$ between projective varieties, the pullback g^* induces an injective map between canonical rings, implying that the Kodaira dimension of V is at least that of V' . In other words, V is geometrically more complex than V' . Returning to the above tower, this suggests that the geometric complexity of the difference $f^{-n-1}(Y) \setminus f^{-n}(Y)$ tends to increase as n grows.

A guiding principle in arithmetic geometry is that the geometric structure of a variety heavily influences its arithmetic properties. For instance, a conjectural extension of Faltings' theorem due to Bombieri and Lang (see [2, Conjecture F.5.2.1]) predicts that if an irreducible variety X over a number field K has Kodaira dimension equal to its dimension, then $X(K)$ is contained in a proper closed subset.

In light of this, one expects that $f^{-n-1}(Y) \setminus f^{-n}(Y)$ should contain fewer K -points as n increases, and eventually none at all. That is, the tower of K -points

$$Y(K) \subseteq f^{-1}(Y)(K) \subseteq \cdots \subseteq f^{-n}(Y)(K) \subseteq \cdots$$

should stabilize. This expectation was formalized in [3, Question 8.4(1)]:

Question 0.1. *Let $f: X \rightarrow X$ be a surjective endomorphism of a projective variety X defined over a number field K , and let $Y \subset X$ be a closed subscheme invariant under f . Does there exist $s_0 \geq 0$ such that*

$$(f^{-(s+1)}(Y) \setminus f^{-s}(Y))(K) = \emptyset$$

for all $s \geq s_0$? In other words, for $x \in X(K)$, if $f^s(x) \in Y(K)$ for some $s \geq 0$, then $f^{s_0}(x) \in Y(K)$.

Initial progress on this question was made in [1], where the authors considered split maps $f = (g, g): \mathbb{P}^1 \times \mathbb{P}^1 \rightarrow \mathbb{P}^1 \times \mathbb{P}^1$, with g a rational function of degree > 1 and $Y = \Delta$ the diagonal subvariety. In this setting, the statement becomes a dynamical analogue of a cancellation theorem:

Theorem 0.2. *Let $f: X \rightarrow X$ be a surjective self-morphism of a projective curve X defined over a number field K . Then there exists $s_0 \geq 0$ such that for all $x, y \in X(K)$, if $f^s(x) = f^s(y)$ for some $s \geq 0$, then $f^{s_0}(x) = f^{s_0}(y)$.*

Later, I provided a complete affirmative answer to the preimages question for surjective endomorphisms of $(\mathbb{P}^1)^n$ for any $n \geq 2$.

Theorem 0.3 ([5]). *Let K be a number field, $n \geq 1$, and $f = (f_1, \dots, f_n): (\mathbb{P}_K^1)^n \rightarrow (\mathbb{P}_K^1)^n$ a split rational map defined over K with at least one f_i of degree > 1 . If $V \subseteq (\mathbb{P}^1)^n$ is a subvariety defined over K invariant under f , then there exists $s_0 \geq 0$ such that*

$$(f^{-s-1}(V) \setminus f^{-s}(V))(K) = \emptyset$$

for all $s \geq s_0$.

Since the dynamics of polynomial maps are comparatively better understood, [1] also established a generalized cancellation result for multiple polynomial maps:

Theorem 0.4. *Let K be a number field, and let ϕ_1, \dots, ϕ_r be polynomial maps on \mathbb{P}_K^1 of degree at least two. Suppose that none of the indecomposable factors of $(\phi_i)_{\overline{K}}$ are linearly related to a Chebyshev polynomial T_d with d odd or a cyclic polynomial x^m . Then there exists a finite set $Z \subset (\mathbb{P}^1 \times \mathbb{P}^1)(K)$ such that for any $a, b \in \mathbb{P}^1(K)$ with $(a, b) \notin Z$, if*

$$\phi_{i_n} \circ \dots \circ \phi_{i_1}(a) = \phi_{i_n} \circ \dots \circ \phi_{i_1}(b)$$

for some $n \geq 0$ and indices $i_1, \dots, i_n \in \{1, \dots, r\}$, then

$$\phi_{i_2} \circ \phi_{i_1}(a) = \phi_{i_2} \circ \phi_{i_1}(b).$$

Subsequently, I obtained necessary and sufficient conditions for when such dynamical cancellation results hold in the setting of multiple polynomial maps, thus completely characterizing the phenomenon:

Theorem 0.5 ([4]). *Let S be a finite set of polynomials of degree at least two defined over a number field K , and let $\langle S \rangle$ be the monoid generated by S under composition. Then there exist $N \in \mathbb{N}^+$ and a finite set $Z \subset \mathbb{P}_K^1 \times \mathbb{P}_K^1$ such that if*

$$(0.1) \quad \phi_k \circ \dots \circ \phi_1(a) = \phi_k \circ \dots \circ \phi_1(b)$$

with $\phi_j \in S$, $k > N$, and $(a, b) \notin Z$, then

$$(0.2) \quad \phi_N \circ \dots \circ \phi_1(a) = \phi_N \circ \dots \circ \phi_1(b),$$

if and only if $\langle S \rangle^2$ does not contain a special pair of polynomials (h_1, h_2) .

Remark 0.6. *In my paper, I gave a complete classification of such special pairs (h_1, h_2) . An important point is that N can be computed from S , and if special pairs exist, they can be chosen as compositions of at most N elements in S . Thus, one only needs to check all compositions of length up to N to determine whether a special pair exists, making the verification process finite and decidable.*

REFERENCES

- [1] Jason Bell, Yohsuke Matsuzawa and Matthew Satriano. On dynamical cancellation. *Int. Math. Res. Not. IMRN*, no. 8, 7099–7139, 2023.
- [2] Marc Hindry, Joseph Silverman. *Diophantine geometry*, Graduate Texts in Mathematics, 201, Springer, New York, 2000.
- [3] Yohsuke Matsuzawa, Sheng Meng, Takahiro Shibata, De-Qi Zhang. Non-density of points of small arithmetic degrees. *J. Geom. Anal.* 33, no. 4, Paper No. 112, 41 pp., (2023).
- [4] Xiao Zhong. Dynamical cancellation of polynomials. *Bull. Lond. Math. Soc.* 55, no. 6, 2948–2962, 2023.
- [5] Xiao Zhong. Preimages question for surjective endomorphisms on $(\mathbb{P}^1)^n$. *New York J. Math.* 31, 633–649, 2025.

UNIVERSITY OF WATERLOO, DEPARTMENT OF PURE MATHEMATICS, WATERLOO, ONTARIO, CANADA N2L 3G1

Email address: x48zhong@uwaterloo.ca